

# COMPLIANCE OFFICER

## **Position Summary :**

Overall management of the activities of the Compliance Department and to make sure that the company is conducting its business in full compliance with all national laws and regulations pertaining to the industry, as well as professional standards and accepted business practices.

## **You Should :**

- Possess a minimum of 15 years banking and finance experience with exposure to compliance at a bank or reputed finance company, and out of which a minimum of 5 years' managerial experience in the function of compliance
- Have a bachelor's degree in Business Administration/ Law / Finance or related discipline is preferred
- Have completed full qualification in Banking (AIB) or a diploma in compliance from IBSL or ICA international diploma in governance, risk and compliance
- Have fully completed professional qualification in CIMA/ ACCA/ ICASL or a MBA will be a distinct advantage
- Possess sound knowledge on anti-money laundering regulations, laws and regulations applicable for the financial service industry and best practices on corporate governance
- Possess strong leadership and public relations with the ability to work collaboratively and with people at all levels of the organization
- Possess strong written/verbal communication skills with outstanding ability to develop and foster cross-functional relationships with key stakeholders

## **You will :**

- Implement/practice/updates the applicable statutory guidelines (including CBSL, CSE, SEC, FIU, other regulators) and ensure company compliance
- Ensure all business operations adhere to sound corporate governance practices and business ethics
- Secure an early involvement in the design and structuring of new products and systems and ensure they conform to regulatory requirements and ethical standards
- Carry out reviews at appropriate frequencies to assess compliance with regulations, rules and internal policies and procedures
- Check and report compliance status monthly and report to the Board and Integrated Risk Management Committee
- Monitor, follow up and report on compliance issues on a timely manner
- Review implementation of new laws, regulations for conformity and communicate directions, rules/regulatory developments to business users
- Ensure that company staff is provided regular training on compliance requirements and changes to laws and regulations
- Maintain regular contacts and good working relationships with regulators

## **If you have such extensive exposure to bring in a distinctive feel and vitality :**

Please forward your complete CV either in a sealed envelope addressed to the HR Manager, Corporate Office, 20, Dharmapala Mawatha, Colombo 03. (Please state 'Compliance Officer' on the top left corner of the envelope), or by email as an attachment to [careers@lbfinance.lk](mailto:careers@lbfinance.lk) to reach not later than 7 days. An attractive remuneration package awaits the right candidate. Only short-listed candidates will be notified.



**SLIM**  
Peoples Financial  
Service Provider of the Year - 2019, 2020 and 2021  
LB Finance always welcome  
candidates having volunteering experience and CSR Mindset.



**A Carbon Neutral Company**

## **About us**

With an almost 5 decades of rich history in the financial industry and recognized for our unparalleled record as the top finance leasing brand, we are driven by sheer passion, dedication and innovation with over 170 branches and service centers across the Island. We strive to provide the best financial services to our massive customer base and are now on the look-out for charismatic and skilled individuals who can treat on our deep - rooted traditions of success and be a partner in taking the organization to new heights.

Head of Human Resources

 **LB FINANCE**

LB Finance PLC, No.20, Dharmapala Mawatha, Colombo 03. E-mail : [careers@lbfinance.lk](mailto:careers@lbfinance.lk)

[lbfinance.com/](http://lbfinance.com/)

