

# BUILD YOUR CAREER WITH CARGILLS BANK



## Executive - Regulatory Compliance

### KEY RESPONSIBILITIES

- ✓ Ensure awareness on all applicable laws and regulations of the Central Bank of Sri Lanka & other Regulatory Authorities and keep the staff updated on such regulations
- ✓ Conduct Compliance Reviews, investigations, statutory return reviews from Regulatory Compliance perspective
- ✓ Perform all MIS activities related to Regulatory Compliance unit
- ✓ Support all other activities related to Regulatory Compliance of the Bank

### EXPERIENCE & QUALIFICATIONS

- ✓ Possess minimum of 5 years of work experience in Banking/ Finance Industry out of which approximately 02 years exposure on Regulatory Compliance or Compliance in general
- ✓ Full / part qualification in Banking / Finance. Professional qualification related to Compliance will be an add advantage
- ✓ Should be a detail-oriented individual with a comprehensive knowledge of Banking operations
- ✓ Very good verbal and written communication skills along with high level of computer literacy
- ✓ Should be able to perform independently with minimum supervision to meet strict deadlines
- ✓ Must be a team player with a performance driven and process-oriented mind-set

## Senior Banking Assistant - Financial Crime Compliance

### KEY RESPONSIBILITIES

- ✓ Conduct AML Investigations on Transaction Monitoring Alerts of the Bank
- ✓ Review Name Screening reports and provide clearance / instructions to the relevant Business Units
- ✓ Review incremental changes in the name screening data file daily and perform all MIS activities related to FCC unit
- ✓ Respond to inquiries from regulatory bodies accurately and on a timely basis
- ✓ Maintain the Bank's Compliance blacklist/Watchlists
- ✓ Conduct Compliance Reviews allocated as per the Bank's Compliance Plan
- ✓ Ensure day-to-day compliance queries are attended appropriately
- ✓ Provide excellent service to both internal and external customers

### EXPERIENCE & QUALIFICATIONS

- ✓ Minimum 2 years of experience in a reputed commercial bank / financial institute, preferably with exposure to Compliance / AML Alert Investigations
- ✓ Full / part qualification in Banking and Finance or any other equivalent professional qualification
- ✓ Good interpersonal skills and presentation skills
- ✓ High level of computer literacy (MS Office)
- ✓ Excellent communication and organizational skills
- ✓ Must be a team player with a performance driven and process-oriented mind-set

Interested candidates are invited to forward their CVs to [career@cargillsbank.com](mailto:career@cargillsbank.com) mentioning the post applied for, in the subject line of the email on or before **15<sup>th</sup> November 2022**.

Head of Human Resources  
Cargills Bank Limited  
No. 696, Galle Road, Colombo 03.

Log in to: [www.cargillsbank.com](http://www.cargillsbank.com)

 **CargillsBank**  
BANKING ON THE HUMAN SPIRIT