



The Securities and Exchange Commission of Sri Lanka (SEC) is responsible for the creation and maintenance of a fair, orderly, efficient and transparent Capital Market whilst ensuring professional standards in the industry.

The SEC is looking for self motivated team players with integrity, self discipline, positive work attitude, ethical conduct, ability to maintain confidentiality along with strong interpersonal skills for the following positions.

## DIRECTOR LEGAL AND ENFORCEMENT

The Director Legal and Enforcement will head the Legal and Enforcement Division and have overall supervision of the Division.

### The Legal Division of the SEC is responsible for;

- Providing legal support and advice to the Commission and Divisions of the SEC,
- Implementation of enforcement actions decided upon by the Commission,
- Administration of legal compliance by regulatees,
- Formulating rules, regulations and standards to regulate and foster the development of the Capital Market
- Proposing revision of applicable laws and legal processes in line with emerging international trends
- Facilitate compliance under the Takeovers and Mergers Code.

### The successful candidate shall possess;

- An Attorney at Law preferably with a Degree in Law from a recognised University.
- Additional academic or professional qualifications in Finance, Economics, Management and a Masters Degree in Law preferably inclusive of Securities/Commercial law or related qualifications would be an advantage.
- A proven track record as a Lawyer with a minimum of 10 years experience at a senior managerial and/or managerial level in the relevant field. Regulatory experience and/or experience in court work will be an added advantage,

### Skills and Competencies:

- Be articulate, analytical and decisive with excellent writing, negotiating, presentation and drafting skills.

## DIRECTOR CORPORATE AFFAIRS

The Director Corporate Affairs will head the Corporate Affairs Division and have overall supervision of the Division.

### The Corporate Affairs Division of the SEC is responsible for;

- Monitoring whether the disclosures of entities listed on the CSE are in line with Sri Lanka Financial Reporting Standards (SLFRS)/Sri Lanka Accounting Standards (LKAS) and CSE Listing Rules,
- Approving the issuance of unlisted securities of listed entities,
- Reviewing financial valuations for the applications received as per Takeovers and Mergers Code,
- Reviewing security valuations with regard to private placements as per the CSE Listing Rules,
- Processing and approving transfers outside the Trading Floor of the Exchange,
- Resolving complaints received from the shareholders of listed entities.

### The successful candidate shall possess;

- A Bachelor's Degree in Finance/Accounting/Economics/Management and/or a Member of the Institute of Chartered Accountants of Sri Lanka or the Chartered Institute of Management Accountants (UK) or equivalent qualification.
- A Class and/or a Master's Degree would be an added advantage.
- A minimum of 10 years experience at a senior managerial and/or managerial level in the relevant field
- Exposure to corporate finance/regulatory affairs and thorough knowledge of SLFRS and its application will be an added advantage.

### Skills and Competencies:

- Be articulate, analytical and decisive, excellent interpersonal skills with good verbal and written communication skills and exposure in an Audit firm would be a definite advantage.

The Candidates should be between 35-55 years of age. The upper age limit will not be applicable for internal applicants and candidates in the government sector organisations.

**Please apply with names and contact details of two non-related referees, indicating the position on the top left hand corner of the envelope to reach the following address by registered post or e-mail your curriculum vitae to [recruitments@sec.gov.lk](mailto:recruitments@sec.gov.lk) on or before 29<sup>th</sup> December 2022.**

**The Director General,  
Securities and Exchange Commission of Sri Lanka,  
Level 29, East Tower, World Trade Center,  
Echelon Square, Colombo 1.  
Website : [www.sec.gov.lk](http://www.sec.gov.lk)**

**The selection will be by way of an interview and only the shortlisted candidates will be contacted.**