

TEAM LEADER REGULATORY COMPLIANCE

The job holder will have the opportunity to work with the Compliance Officer of the Bank in managing regulatory compliance risks and to uphold best practices as well as assist the business to promote and drive a strong risk and compliance culture

The successful candidate will provide leadership to the regulatory compliance vertical within the compliance function of the Bank

He / She will also be responsible to enhance and maintain a robust regulatory compliance framework, in partnership with all stakeholders, to execute the Bank's strategy in accordance with its risk appetite, regulatory expectations and industry best practices

The Job

- ✓ Lead Bank's Regulatory compliance team and manage regulatory obligations and provide advisory support in areas including Foreign Exchange Regulations, Regulations issued under the Banking Act and other Regulations/Guidelines issued by the regulator
- ✓ Simplify and disseminate all the new regulations to relevant stakeholders, ensure compliance with regulations, support stakeholders to assess areas of impact and provide interpretations when required
- ✓ Engage with the regulator in all regulatory interactions and ensure that regulatory reporting takes place in a timely manner, respond all correspondence with the regulator and facilitate clarifications with the regulator
- ✓ Function as the Coordinator for CBSL Supervisory Audits, operational risk related activities, complaints management and financial ombudsman related queries and ensure all regulatory concerns are closed with the assistance of the stakeholders in a timely manner
- ✓ Ensure Regulations are adequately captured in Bank's policies and procedures
- ✓ Periodically review Bank's policies, procedures, product guides and ensure the contents reflect up to date regulatory information and industry best practices
- ✓ Ensure a framework is in place to create awareness and to draw the attention of all stakeholders to the key obligations expected on an ongoing basis
- ✓ Be aware and stay abreast with current regulatory risk events, emerging trends and proactively collaborate with the compliance officer and relevant stakeholders to support knowledge sharing across the institution and assist in mitigating/managing the risks

The Person

- ✓ Possess academic / professional qualifications in Banking and or Compliance
- ✓ Work experience in a Regulatory Compliance Role at a managerial position in a financial institution
- ✓ Experience in AML compliance will be an added advantage with at least 10 years in Compliance/Banking Operations
- ✓ A team player with strong interpersonal skills having the ability to work collaboratively with employees of all levels of the organization, regulator and vendors
- ✓ Attention to detail, excellent analytical and critical thinking skills
- ✓ Excellent written and verbal communication skills
- ✓ A resilient and growth mindset
- ✓ Be self-motivated, determined and confident

The position is at Senior Manager Grade

Please login to <https://www.ndbbank.com/careers> to apply on or before **05th October 2022**.

We will correspond only with the shortlisted applicants

"We are an equal opportunity Employer"

